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PATENT  
P5507  
0046.0002

JC979 U.S. PTO  
09/973784  
10/09/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Examiner: Not Yet Assigned  
P.M. Schein )  
Serial No.: Not Yet Assigned )  
Filed: Concurrent herewith ) Art Unit: Not Yet Assigned  
For: METHOD, SYSTEM, AND )  
PROGRAM FOR MANAGING )  
ACCESES TO DATA OBJECTS )  
BY MULTIPLE USER )  
PROGRAMS OVER A )  
NETWORK )

Assistant Commissioner of Patents  
Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- The specification incorporates comments on the relevancy of Non-English language references.
- Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

- B.  The information disclosure statement submitted herewith is being filed
- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
  - 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
  - 3) before the mailing date of a first Office Action on the merits; or
  - 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.
- C.  The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
  - 2) a fee of \$180 as set forth in 1.17(p).
- D.  The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
  - 2) a fee of \$180 as set forth in 1.17(p).

#### **STATEMENT UNDER 1.97(e)**

I, the person signing below, certify

- that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
- that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

If required under paragraph B or C *supra*, enclosed is Applicant's check in the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,

David W. Victor  
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Dated: October 9, 2001

I hereby certify that this correspondence is being deposited with the  
United States Postal Service "Express Mail Post Office to Addressee"  
service under 37 C.F.R. 1.10 on the date indicated above and is addressed  
to the Box: Patent Application, Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231  
on October 9, 2001.

\_\_\_\_\_  
David W. Victor

Exp. Mail # EL821157632US

\_\_\_\_\_  
10/9/01  
Dated

# **INFORMATION DISCLOSURE CITATION IN AN APPLICATION**

*(Use several sheets if necessary)*

**Docket Number**  
**P5507**

**Application Number**  
Not Yet Assigned

**Applicant**

**Filing Date**  
Concurrently Herewith

**Group Art Unit**  
Not Yet Assigned

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROP.
	5	0	0	8	8	5	3	04/16/91	Bly et al.			
	5	2	2	0	6	5	7	06/15/93	Bly et al.			
	5	2	4	7	6	1	5	09/21/93	Mori et al.			
	5	2	9	3	6	1	9	03/08/94	Dean			
	5	3	7	9	3	7	4	01/03/95	Ishizaki et al.			
	5	4	4	6	8	4	2	08/29/95	Schaeffer et al.			
	5	5	1	5	4	9	1	05/07/96	Bates et al.			
	5	7	9	9	3	2	0	08/25/98	Klug			
	5	8	9	0	1	7	7	03/30/99	Moody et al.			
	5	9	6	6	5	1	2	10/12/99	Bates et al.			
	6	0	4	4	3	8	7	03/28/00	Angiulo et al.			
	6	0	6	7	5	5	1	05/23/00	Brown et al.			
	6	0	7	3	1	6	1	06/06/00	DeBoskey et al.			
	6	0	8	8	7	0	2	07/11/00	Plantz et al.			

## **FOREIGN PATENT DOCUMENTS**

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


**EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.